



STATUS AND ENTITY
REGULAR

FEB 27 AM 3:45

DR/Rcf
317

Docket No.: G5030.0013/P013
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Takashi Kakiuchi et al.

Application No.: 09/479,886

Confirmation No.: 3652

Filed: January 10, 2000

Art Unit: 2623

For: AN IMAGE RECOGNITION-
PROCESSING DEVICE AND METHOD
USING PATTERN ELEMENTS RESIDING
ON A RECORDING MEDIUM

Examiner: J. C. Chang

15 Reg for ref
3/15/09

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby respectfully request a refund of \$110.00 in regard to a Three-Month Extension of Time which was filed on February 18, 2004 along with the Request for Continued Examination. Applicants had previously paid for a One-Month Extension of Time on December 18, 2003 in connection with an Amendment After Final filing, therefore, an Extension of Time fee of \$840.00 should have been paid instead of the fee of \$950.00. Copies of the PTO stamped postcard receipt, fee sheet, and Credit Card Payment Form are enclosed.

Application No.: 09/479,886 NY

Docket No.: G5030.0013/P013

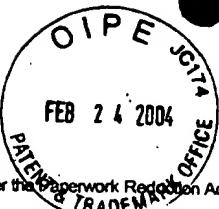
The Commissioner therefore is respectfully requested to credit the total refund amount of \$110.00 to our American Express Account 378732006481008, Attorney Docket No. G5030.0013/P013.

Dated: February 24, 2004

Respectfully submitted,

By 
Thomas J. D'Amico

Registration No.: 28,371
Christopher S. Chow
Registration No.: 46,493
DICKSTEIN SHAPIRO MORIN &
OSHINSKY LLP
2101 L Street NW
Washington, DC 20037-1526
(202) 785-9700
Attorneys for Applicants



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U.S. PATENT AND TRADEMARK OFFICE
REGISTRY OF TRADEMARKS

PTO/SB/30 (09-03)
Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Request For Continued Examination (RCE) Transmittal		FEB 27 2004 3:47
Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/479,886-Conf. #3652
	Filing Date	January 10, 2000
	First Named Inventor	Takashi Kakiuchi
	Art Unit	2623
	Examiner Name	J. Chang
	Attorney Docket No.	G5030.0013/P013

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
 Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. Submission required under 37 CFR 1.114		Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).	
a. <input type="checkbox"/> Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.			
i. <input type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____			
ii. <input type="checkbox"/> Other _____			
b. <input checked="" type="checkbox"/> Enclosed			
i. <input checked="" type="checkbox"/> Amendment/Reply		iii. <input type="checkbox"/> Information Disclosure Statement (IDS)	
ii. <input type="checkbox"/> Affidavit(s)/Declaration(s)		iv. <input type="checkbox"/> Other _____	
2. Miscellaneous			
a. <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)			
b. <input type="checkbox"/> Other _____			
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.			
a. <input checked="" type="checkbox"/> The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 04-1073			
i. <input checked="" type="checkbox"/> RCE fee required under 37 CFR 1.17(e)			
ii. <input checked="" type="checkbox"/> Extension of time fee (37 CFR 1.136 and 1.17)			
iii. <input type="checkbox"/> Other _____			
b. <input type="checkbox"/> Check in the amount of \$ _____ enclosed			
c. <input checked="" type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed)			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Christopher S. Chow	Registration No. (Attorney/Agent)	46,493
Signature	_____ Christopher S. Chow		
	Date	February 18, 2004	

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket No. (Optional) G5030.0013/P013										
<table border="1"> <tr> <td colspan="2">In re Application of Takashi Kakiuchi et al.</td> </tr> <tr> <td>Application Number 09/479,886-Conf. #3652</td> <td>Filed January 10, 2000</td> </tr> <tr> <td colspan="2">For: AN IMAGE RECOGNITION-PROCESSING DEVICE AND METHOD USING PATTERN ELEMENTS RESIDING ON A RECORDING MEDIUM</td> </tr> <tr> <td>Art Unit 2623</td> <td>Examiner J. C. Chang</td> </tr> </table>			In re Application of Takashi Kakiuchi et al.		Application Number 09/479,886-Conf. #3652	Filed January 10, 2000	For: AN IMAGE RECOGNITION-PROCESSING DEVICE AND METHOD USING PATTERN ELEMENTS RESIDING ON A RECORDING MEDIUM		Art Unit 2623	Examiner J. C. Chang		
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Art Unit 2623	Examiner J. C. Chang											
<p>FEB 24 2004 PATENT & TRADEMARK OFFICE</p> <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and appropriate non-small-entity fee are as follows (check time period desired):</p> <table> <tr> <td><input type="checkbox"/> One month (37 CFR 1.17(a)(1))</td> <td>\$ _____</td> </tr> <tr> <td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td> <td>\$ _____</td> </tr> <tr> <td><input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td> <td>\$ 950.00</td> </tr> <tr> <td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td> <td>\$ _____</td> </tr> <tr> <td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td> <td>\$ _____</td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ _____</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>04-1073</u></p> <p>I have enclosed a duplicate copy of this sheet.</p> <p>I am the <input type="checkbox"/> applicant/inventor. <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). <input type="checkbox"/> attorney or agent of record. Registration Number _____ <input checked="" type="checkbox"/> attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) <u>46,493</u></p> <p><u>February 18, 2004</u> Date</p> <p><u>(202) 775-4756</u> Telephone Number</p> <p><u>Christopher S. Chow</u> Signature</p> <p>Christopher S. Chow Typed or printed name</p> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below</p> <p><input type="checkbox"/> Total of <u>1</u> forms are submitted.</p>			<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$ _____	<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$ _____	<input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$ 950.00	<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$ _____	<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$ _____
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$ _____											
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$ _____											
<input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$ 950.00											
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$ _____											
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$ _____											

FEB 24 2004

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,720.00)

Complete If Known

Application Number	09/479,886-Conf. #3652-37
Filing Date	January 10, 2000
First Named Inventor	Takashi Kakiuchi
Examiner Name	J. C. Chang
Art Unit	J. Chang
Attorney Docket No.	G5030.0013/P013

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:Deposit Account Number **04-1073**Deposit Account Name **Dickstein Shapiro Morin & Oshinsky LLP**

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge - late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet.
1053	130	1053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for ex parte reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action.
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	420	2252	210 Extension for reply within second month
1253	950	2253	475 Extension for reply within third month
1254	1,480	2254	740 Extension for reply within fourth month
1255	2,010	2255	1,005 Extension for reply within fifth month
1401	330	2401	165 Notice of Appeal
1402	330	2402	165 Filing a brief in support of an appeal
1403	290	2403	145 Request for oral hearing
1451	1,510	1451	1,510 Petition to institute a public use proceeding
1452	110	2452	55 Petition to revive - unavoidable
1453	1,330	2453	665 Petition to revive - unintentional
1501	1,330	2501	665 Utility issue fee (or reissue)
1502	480	2502	240 Design issue fee
1503	640	2503	320 Plant issue fee
1460	130	1460	130 Petitions to the Commissioner
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	770	2809	385 Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
Other fee (specify)			

SUBTOTAL (1) (\$ 0.00)

Total Claims	19	-** =	Extra Claims	Fee from below	Fee Paid
Independent Claims	5	-** =			
Multiple Dependent					

Large Entity	Small Entity	Fee Description
Fee Code	Fee (\$)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	88	2201 43 Independent claims in excess of 3
1203	200	2203 145 Multiple dependent claim, if not paid
1204	88	2204 43 ** Reissue Independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

** or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,720.00)

(Complete if applicable)					
Name (Print/Type)	Christopher S. Chow	Registration No. (Attorney/Agent)	46,493	Telephone	(202) 775-4756
Signature				Date	February 18, 2004



SEARCHED AND SERIALIZED
FEB 24 2004

FEB 27 2004 3:15

Atty Docket No.: G5030.0013/P013

TRADEMARK OFFICE
Applicant: Takashi Kakiuchi et al.

Application No.: 09/479,886-Conf. #3652 **Filing Date:** January 10, 2000
Title: AN IMAGE RECOGNITION-PROCESSING DEVICE AND METHOD USING
PATTERN ELEMENTS RESIDING ON A RECORDING MEDIUM

Documents Filed:

Three Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page in duplicate)

Request for Continued Examination Transmittal (1 page in duplicate)

Fee Transmittal (1 page in duplicate)

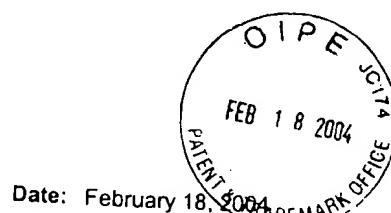
Payment by credit card Form PTO-2038 is attached (1 page)

Charge \$1,720.00 to credit card

Amendment (11 pages)

Via: PTO DAILY RUN

Sender's Initials: TJD/CSC/cdl



Date: February 18, 2004

57-5



SEARCHED AND SERIALIZED
JAN 27 2005

Inventor: Takashi Kakiuchi, et al.

Atty Docket No.: G5030.0013/P013

Application No.: 09/479,886-Conf. #3652 Filing Date: January 10, 2000
Title: AN IMAGE RECOGNITION-PROCESSING DEVICE AND METHOD USING
PATTERN ELEMENTS RESIDING ON A RECORDING MEDIUM

Documents Filed:

- One Month Request for Extension of Time (1 page in duplicate)
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- Fee Transmittal (1 page in duplicate)
- Payment by credit card. Form PTO-2038 is attached (1 page)
- Charge \$110.00 to credit card
- Amendment After Final (12 pages)



Via: PTO DAILY RUN
Sender's Initials: TJD/CSC/cdl

Date: December 18, 2003

REC'D 12-18-03



PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 110.00)

Complete If Known	
Application Number	09/479,886-Conf. #3652-127
Filing Date	January 10, 2000
First Named Inventor	Takashi Kakiuchi
Examiner Name	Jon Chang
Art Unit	2623
Attorney Docket No.	G5030.0013/P013

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number

04-1073

Deposit Account Name

Dickstein Shapiro Morin & Oshinsky LLP

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
- Charge any additional fee(s) or any underpayment of fee(s)
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
19	-20** =			0.00
Independent Claims 5	-5** =			0.00
Multiple Dependent				

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

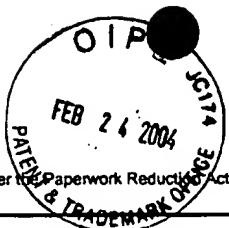
SUBTOTAL (2) (\$ 0.00)

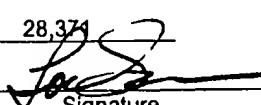
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FEE CALCULATION (continued)					
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Large Entity	Small Entity				
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Other fee (specify)		*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 110.00)	

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	Thomas J. D'Amico	Registration No. (Attorney/Agent)	28,371	Telephone (202) 828-2232
Signature		Date	December 18, 2003	

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Art Unit 2623	Examiner Jon Chang											
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<input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$ 110.00											
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$ _____											
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$ _____											
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$ _____											
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$ _____											